

Dialogue Models and Dialogue Systems

Prof. Marilyn Walker
www.dcs.shef.ac.uk/~walker
Joe Polifroni
j.polifroni@dcs.shef.ac.uk
Francois Mairesse
f.mairesse@sheffield.ac.uk

Class Organization

- Essay 90% (topics available in two weeks)
- In class 'thought pieces' (on each topic) 10%
- Webpage:

[http://www.dcs.shef.ac.uk/~francois/
dialog-art/](http://www.dcs.shef.ac.uk/~francois/dialog-art/)

Topics to be covered

- Architecture of spoken dialogue systems
- Evaluation of spoken dialogue systems
- User modelling
- Spoken Language Generation
- Learning

Today's topics

- Types of Spoken Dialogue Systems
- Architecture of SDS
- Components of SDS
- DM in context of SDS

Spoken Dialogue Systems

- Intelligent agent interacting with humans by voice to complete a variety of tasks
- Many deployed systems
- Can understand what people say
- Sounds human when responds
- Can pass the Turing test



Listen again ...

- Open-ended prompt
- Multiple requests in one utterance
- Confirmation subdialogue
- Reprompting
- Remembering user goal across confirmation subdialogue
- Rapid speech
- Slightly odd synthesis
- Implicit, then explicit confirmation
- Multiple responses
- Politeness behavior



Types of dialogue systems

- Chatbots
 - Seek to emulate human-human behavior
 - Aim to pass the Turing Test
- Tutorial
 - Goal: instruct a user
 - Topics:
 - Language learning
 - Car repair
 - Algebra

Types of dialogue systems (cont'd.)

- Task-oriented
 - Process based
 - Transfer money in bank accounts
 - Pay bill with service provider
 - Information based
 - Book a flight
 - Find a restaurant
 - Find directions

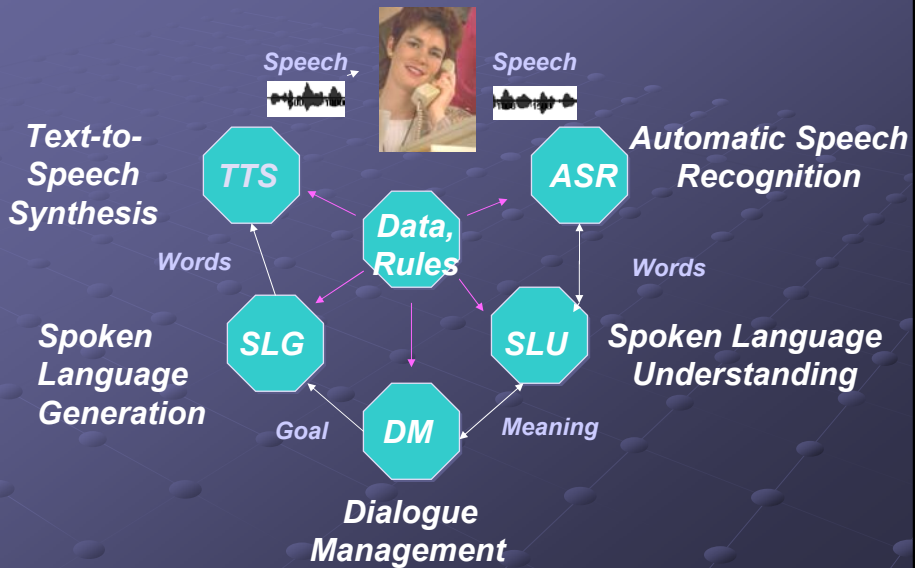
What each type of system is trying to model

- Chat
 - Common sense/human knowledge/politeness behavior
- Tutorial
 - Underlying process/step-by-step requirements/pedagogical theory
- Task-oriented
 - Task requirements
 - Steps required to achieve goal
 - Data needed to achieve goal

Output considerations

- Chat:
 - Formal/informal language
 - Friendliness
 - Human-like speech (including hesitations/false starts?)
- Tutorial
 - Clarity
 - Step-wise presentation of concepts
- Task-oriented
 - Clarity of questions
 - Verbosity

Spoken Dialogue Systems



Audio server

- Purpose: data capture
- Input: speech; Output: digitized version of speech
- Considerations:
 - Availability
 - Bandwidth
 - Drop-out
 - Barge-in

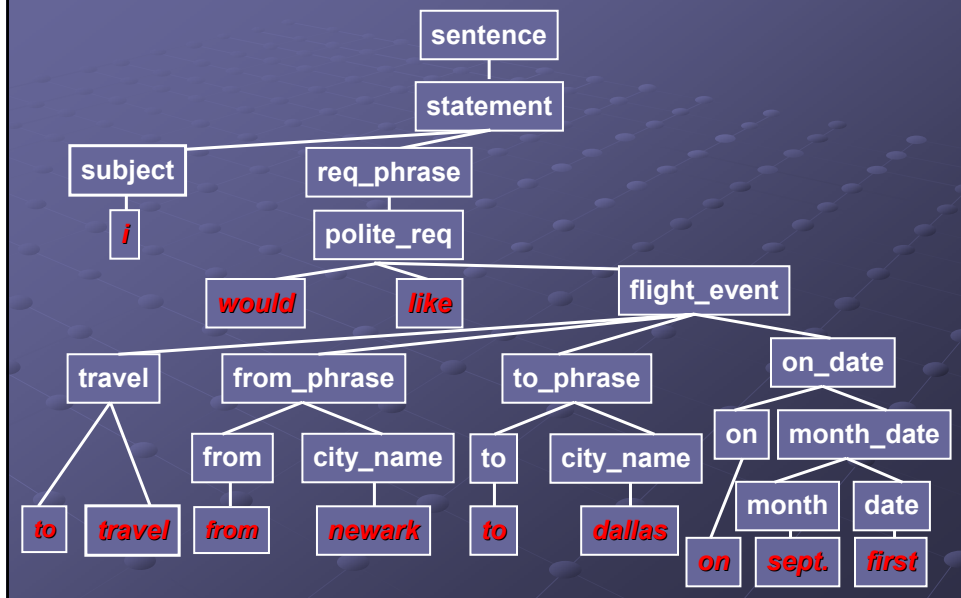
Automatic Speech Recognition

- Purpose: transcribe the speech
- Input: digital speech
- Output: String/N-best list representing hypothesized words
- Considerations:
 - Vocabulary size
 - Grammar type
 - Speech type
 - Isolated word/continuous speech
 - Spontaneous speech/read speech
 - Accented speech

Natural Language Understanding

- Purpose: produce meaning representation from ASR output
- Input: String/N-best list
- Output: Meaning representation
- Considerations:
 - Type of grammar
 - Finite-state
 - Full parse
 - Word-spotting

SLU: Example Full Parse



SLU: Word-Spotting Output

- I would like to fly from Newark to Dallas on September first
 - I would like to fly from `<city_name>` Newark `</city_name>` to `<city_name>` Dallas `</city_name>` on September first
 - I would like to fly `<from_place>` from `<city_name>` Newark `</city_name>` `</from_place>` `<to_place>` to `<city_name>` Dallas `</city_name>` `</to_place>` on September first
 - I would like to fly `<from_place>` from `<city_name>` Newark `</city_name>` `</from_place>` `<to_place>` to `<city_name>` Dallas `</city_name>` `</to_place>` on `<date>` September first `</date>`
 - `<req_flight>` I would like to fly `</req_flight>` `<from_place>` from `<city_name>` Newark `</city_name>` `</from_place>` `<to_place>` to `<city_name>` Dallas `</city_name>` `</to_place>` on `<date>` September first `</date>`

SLU: Example Output

Request

Flight_event

From: **EWR**

To: **DFW**

Date: **01Mar2006**

Flight_event

From: **EWR**

To: **DFW**

Date: **01Mar2006**

Dialogue Management

- Purpose: decide what system's next action should be.
- Input: a meaning representation from SLU

Request_phrase

Flight_event

From: **EWR**

To: **DFW**

Date: **01Sep2005**

- Output: High-level communicative goal(s)
 - *Confirm-info-sofar, Get-next-info*

Natural Language Generation

- Purpose: produce an output string to be shown/spoken to the user
- Input: Representation from DM
- Output: String for TTS (possibly marked for prosody, etc.)
- Considerations:
 - Verbosity
 - Level of formalism
 - "Elegance"

Text-to-Speech Synthesis

- Purpose: speak string to user
- Considerations:
 - Human-like
 - Flexibility
- Formant-based synthesis (Stephen Hawking)
 - Human vocal tract modelled and speech truly "synthesized"
- Concatenative Synthesis
 - Bits of human speech glued together (concatenated)
 - More natural but inherently limited: can only produce what you have units for in the database)

How are all these pieces put together?

- One possibility: Dialogue Manager
- Reason: Dialogue Manager is in the "middle" in time and functionality
 - DM comes after ASR and NLU
 - DM precedes NLG and TTS
 - DM is often only component that has access to database (and reasoning about database)

Dialogue Management and Audio Server

- If barge-in is enabled, how is truncated input interpreted:

User: I'm interested in Thai restaurants in North London.

System: *I know of 8 Thai rest-*

User: Wait, that's not what I wanted.

User: I'm interested in Thai restaurants in North London.

System: *I know of 8 Thai restaurants in North London.*

There's Banh Mi, Thai Palace, Gold-

User: Wait, that's the one I wanted.

Dialogue Management and ASR

- How can DM constraint recognition choice?

User: I'm going to Dallas on May eighteenth.

System: *Okay, where are you leaving from?*

User: Dulles.

User: I want to return on May twentieth.

System hears:

i want to return on may twelfth

i want to return on may twentieth

After dialogue management:

System: *So that's returning on May twelfth.*

Dialogue Management and NLP

- Words/phrases are interpreted *in context*

User: I need to book a flight.

System: *Okay, where are you leaving from?*

User: Dulles.

Dialogue management and NLG

- Tailor response to fit user model/current history

User: I'm interested in Thai restaurants in North London.

System: *I know of 8 Thai restaurants in North London.*

Two of them have very high food quality: Banh Mi and Golden Siam.

Dialogue Management and TTS

- Emphasize new/pertinent information

User: I'm interested in Thai restaurants in North London.

System: *I know of 8 Thai restaurants in North London.*

Two of them have very high food quality: Banh Mi and Golden Siam.

User: Actually, what about Chinese restaurants.

System: Okay, Chinese restaurants in North London.

Conclusions

- For systems to become more human-like, more intelligence needs to be added to all system components
- All components of a spoken dialogue system interact with each other
- Dialogue manager can be the logical module for mediating the interaction